## Form **990**

**Return of Organization Exempt From Income Tax** 

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

► Do not enter social security numbers on this form as it may be made public. ► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

Α	For t	he 2020 calend	dar year, or tax	year begi	inning		, 20	20, and endin	ıg		, 2	20	
		if applicable:	С							D Employ	er identifi	cation num	ber
	X A	ddress change	SEACHANGE	CAPIT	AL PARTN	ERS INC				20-	51246	65	
		ame change	420 LEXIN							E Telepho			
	-	nitial return	NEW YORK,	NY 10	170					844	-869-	7842	
	_	nal return/terminated								011	005	7042	
	$\blacksquare$	mended return								<b>G</b> Gross re	accipte \$	1 (	681,480.
	-	pplication pending	F Name and add	ress of princin	nal officer:				H(a) Is this	a group retur			Yes X No
		pplication pending			oai oilicei.				` ,				Yes No
_	Tay	-exempt status:	SAME AS C X 501(c)(3)	501(c) (	)◀ (	insert no.)	4947(a)(1	) or 527	If "No,"	subordinates ' attach a list	See instr	uctions	
<u>'</u>		· ·				IIISEIT IIU.)	4347(a)(1	) 01 327					
			W.SEACHAN			T		I		exemption nu			
K		n of organization:	X Corporation	Trust	Association	Other ►		L Year of format	ion: 200	6 IN S	State of leg	gal domicile	: DE
Pa	rt I	Summar	<b>y</b> ha tha avenin	Hiamla maia	-:	-::::::							
	1	Briefly descri	be the organiza	illon's mis	Sion or most	Significant	activities:	SEE_SCHE	DULE O				
Se													
Jan													
Ver	2	Check this bo	y ▶ ∏if the	organizati	on discontinu	ied its oper	ations or d	lisposed of mo	ore than 2	5% of its	net acc		
Activities & Governance	3		oting members								3	cis.	12
∘ఠ	4		dependent voti								4		10
ië.	5	Total number	of individuals	employed	in calendar y	ear 2020 (F	art V, line	2a)			5		10
⋛	6		of volunteers								6		10
Ac			ed business rev								7a		0.
	b	Net unrelated	l business taxa	ble income	e from Form	990-T, Part	I, line 11.		<u> </u>		7b		0.
										rior Year			ent Year
Ф	8		and grants (Pa							.,431,6			058,011.
Revenue	9	-	rice revenue (P							501,0			612,404.
eve	10		ncome (Part VII							17,7			5,087.
ш	11		e (Part VIII, col							1,1			5,978.
	12		e – add lines 8							,951,5			681,480.
	13		imilar amounts				•			390,8	65.		487,863.
	14		to or for members										
S	15		er compensatio							.,190,4	47.	1,	229,762.
nse	16 a	Professional	fundraising fee	s (Part IX,	column (A),	line 11e)							
Expenses	b	Total fundrais	sing expenses (	(Part IX, c	olumn (D), lii	ne 25) 🕨		39,480.					
ш	17	Other expens	ses (Part IX, co	lumn (A),	lines 11a-11d	d, 11f-24e).				521,9	97.		421,175.
	18	Total expense	es. Add lines 1	3-17 (mus	t equal Part I	X, column (	A), line 25	j)	. 2	2,103,3			138,800.
	19	Revenue less	expenses. Sul	otract line	18 from line	12				-151,7			542,680.
ъ §										ng of Curren			of Year
and	20	Total assets (	(Part X, line 16	)						2,925,1			311,379.
Net Assets of Fund Balance	21	Total liabilitie	s (Part X, line	26)						308,8			177,016.
F F	22	Net assets or	fund balances	. Subtract	line 21 from	line 20			. 2	2,616,2	81.	5.	134,363.
Pa	rt II	Signatur	e Block							, , -		/	
				amined this re	eturn, including a	ccompanying sc	hedules and s	tatements, and to	the best of m	ıv knowledae	and belief	it is true.	correct, and
com	olete. D	eclaration of prepa	eclare that I have ex- arer (other than office	er) is based o	n all information	of which prepare	er has any kno	owledge.		,		, ,	
Sic	ın	Signatu	re of officer						Da	ite			
Siç He	re	▶ JOHI	N MACINTOS	SH					PARTI	NER			
		Type or	print name and title	!									
		Print/Type p	preparer's name		Preparer's sig	gnature		Date		Check	if P	TIN	
Pa	id	ROBERT	J. LOGAN	, CPA	ROBERT	J. LOGA	AN, CPA			self-employe	ed P	01394	294
Pre	epar	er Firm's name		GROUP	LLP		•	•					
Us	e Or	ily Firm's addre			DRIVE SO	OUTH SUT	TE 302			Firm's EIN	20-	02687	17
			HAUPP		NY 11788					Phone no.		360-17	
May	/ the	IRS discuss th	is return with t			ve? See ins	tructions .					X Yes	

Page 2

Га	Check if Schedule O contains a response or note to any line in this Part III	Х
1	Briefly describe the organization's mission:	
	SEE SCHEDULE O	
2	Did the organization undertake any significant program services during the year which were not listed on the prior	
		Yes X No
	If "Yes," describe these new services on Schedule O.	
3		Yes X No
_	If "Yes," describe these changes on Schedule O.	
4	Describe the organization's program service accomplishments for each of its three largest program services, as measured Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the to	i by expenses. Ital expenses
	and revenue, if any, for each program service reported.	tai experises,
4 a	<b>a</b> (Code:) (Expenses \$1,677,386. including grants of \$487,863.) (Revenue \$	612,404.)
	SEE SCHEDULE O	
11	<b>b</b> (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$	
٦.		)
4 (	c (Code:) (Expenses \$ including grants of \$) (Revenue \$)	)
4 (	d Other program services (Describe on Schedule O.)	
	(Expenses \$ including grants of \$ ) (Revenue \$	)
4 6	e Total program service expenses ► 1,677,386.	

# Form 990 (2020) SEACHANGE CAPITAL PARTNERS INC Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A	1	Х	
2	Is the organization required to complete Schedule B, Schedule of Contributors See instructions?	2	Χ	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I.	3		Х
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II	4		Х
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III	5		Х
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I	6		Х
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If 'Yes,' complete Schedule D, Part II	7		Х
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III.	8		Х
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV.	9		Х
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? If 'Yes,' complete Schedule D, Part V	10		Х
11	If the organization's answer to any of the following questions is 'Yes', then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If 'Yes,' complete Schedule D, Part VI.	11 a	Х	
b	Did the organization report an amount for investments – other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII.	11 b		Х
c	: Did the organization report an amount for investments – program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII.	11 c	Х	
c	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX.	11 d		Х
e	Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X	11 e	Х	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X	11 f	Х	
12 a	Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI and XII	12a	Х	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		Х
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E	13		Χ
14 a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		Х
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If 'Yes,' complete Schedule F, Parts I and IV.	14b		Х
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV	15		Х
	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If 'Yes,' complete Schedule F, Parts III and IV</i>	16		Х
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I See instructions.	17		Х
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II.	18		X
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes,' complete Schedule G, Part III.	19		Х
20a	Did the organization operate one or more hospital facilities? If 'Yes,' complete Schedule H	20a		Х
b	If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If 'Yes,' complete Schedule I, Parts I and II.	21	Х	

# Form 990 (2020) SEACHANGE CAPITAL PARTNERS INC Part IV Checklist of Required Schedules (continued)

			Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If 'Yes,' complete Schedule I, Parts I and III	22		Х
23	Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If 'Yes,' complete Schedule J</i> .	23	X	
24	a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No, 'go to line 25a	24a		Х
	<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
	c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
	<b>d</b> Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year?	24d		
25	a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If 'Yes,' complete Schedule L, Part I	25a		Х
	b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If 'Yes,' complete Schedule L, Part I.	25b		Х
26	former officer, director, trusteé, key employee, créator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? <i>If 'Yes,' complete Schedule L, Part II</i>	26		Х
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If 'Yes,' complete Schedule L, Part III.	27		Х
28	instructions, for applicable filing thresholds, conditions, and exceptions):			
	<b>a</b> A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If</i> 'Yes,' complete Schedule L, Part IV	28a		X
	<b>b</b> A family member of any individual described in line 28a? If 'Yes,' complete Schedule L, Part IV	28b		X
	c A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If Yes,' complete Schedule L, Part IV.	28c		Х
29	Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M	29		X
30	contributions? If 'Yes,' complete Schedule M	30		Х
31	Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part II.	32		Х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If 'Yes,' complete Schedule R, Part I</i>	33		Х
34	Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1.	34		Х
	a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		Х
	<b>b</b> If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2	35b		
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If 'Yes,' complete Schedule R, Part V, line 2</i>	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If 'Yes,' complete Schedule R, Part VI</i>	37		Х
38	Note: All Form 990 filers are required to complete Schedule O.	38	X	
Pa	rt V Statements Regarding Other IRS Filings and Tax Compliance			
	Check if Schedule O contains a response or note to any line in this Part V		Yes	No
1	a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable			140
	b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable			
	c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1 c		
RΛ			aan (	2020

Form 990 (2020) SEACHANGE CAPITAL PARTNERS INC

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

			Yes	No
	a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 10			
ı	f at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b		X
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			**
	a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3 a		Х
	b If 'Yes,' has it filed a Form 990-T for this year? <i>If 'No' to line 3b, provide an explanation on Schedule 0</i>	3 b		
	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4 a		Х
ı	b If 'Yes,' enter the name of the foreign country►			
<b>.</b>	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).  a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5 a		Х
	b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5 b		X
	c If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T?	5 c		- 21
	a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6 a		Х
	b If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6 b		
7	Organizations that may receive deductible contributions under section 170(c).			
ä	a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and			,,
	services provided to the payor?	7 a		X
	<b>b</b> If 'Yes,' did the organization notify the donor of the value of the goods or services provided?	7 b		
(	c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7 c		Х
(	d If 'Yes,' indicate the number of Forms 8282 filed during the year			
	e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7 e		X
	f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7 f		X
	g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7 g		
ı	n If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7 h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring			
	organization have excess business holdings at any time during the year?	8		
	Sponsoring organizations maintaining donor advised funds.	-		
	a Did the sponsoring organization make any taxable distributions under section 4966?	9 a		
	b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9 b		
	Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12			
	o Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b			
	Section 501(c)(12) organizations. Enter:			
	a Gross income from members or shareholders			
ı	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)			
12	a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12 a		
	b If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year			
	Section 501(c)(29) qualified nonprofit health insurance issuers.			
ě	a Is the organization licensed to issue qualified health plans in more than one state?	13a		
	<b>Note:</b> See the instructions for additional information the organization must report on Schedule O.			
	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans			
	c Enter the amount of reserves on hand	1/1-		X
	b If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation on Schedule O	14a 14b		Λ
		140		
15	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	15		Х
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?	16		Х
	If 'Yes,' complete Form 4720, Schedule O.			

Part VI Governance, Management, and Disclosure For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI. Section A. Governing Body and Management No Yes 1 a Enter the number of voting members of the governing body at the end of the tax year.... 12 If there are material differences in voting rights among members SEE SCH. O of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O. **b** Enter the number of voting members included on line 1a, above, who are independent... 10 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? ..... Χ 2 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person?..... 3 Χ Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?.... Χ 4 X Did the organization become aware during the year of a significant diversion of the organization's assets?.... 5 Χ Did the organization have members or stockholders?..... 6 7 a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?..... 7 a Χ **b** Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?..... Χ 7 b Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: a The governing body?..... X 8a X **b** Each committee with authority to act on behalf of the governing body?..... 8 b 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If 'Yes,' provide the names and addresses on Schedule Q..... 9 **Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code. Yes No 10 a Did the organization have local chapters, branches, or affiliates?..... Χ 10 a b If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10 b 11 a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?..... Χ b Describe in Schedule O the process, if any, used by the organization to review this Form 990. SEE SCHEDULE O Χ 12a Did the organization have a written conflict of interest policy? If 'No,' go to line 13...... 12a b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise 12b Χ to conflicts?..... Χ 12c 13 Did the organization have a written whistleblower policy?..... 13 Χ 14 Did the organization have a written document retention and destruction policy?..... Χ 14 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? X a The organization's CEO, Executive Director, or top management official. SEE . SCHEDULE. . O. . . . . . 15 a **b** Other officers or key employees of the organization..... 15 b X If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?..... 16 a X **b** If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?. 16 b Section C. Disclosure List the states with which a copy of this Form 990 is required to be filed > NY Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply Own website Another's website Upon request Other (explain on Schedule O) Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to 19 the public during the tax year. SEE SCHEDULE O State the name, address, and telephone number of the person who possesses the organization's books and records JOHN MACINTOSH 420 LEXINGTON AVE NEW YORK NY 10170 844-869-7842

## Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII.....

### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1 a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of 'key employee.'
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

		(C)								
(A) Name and title	(B) Average hours per	directo		box, an o ector/	unles fficer	s pers and a ee)	ion	(D)  Reportable compensation from the organization	(E)  Reportable compensation from related organizations	<b>(F)</b> Estimated amount of other
	week (list any hours for related organiza- tions below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(W-2/1099-MISC)	(W-2/1099-MISC)	compensation from the organization and related organizations
(1) NADYA SHMAVONIAN	32								_	
PARTNER	0				Χ			166,973.	0.	18,604.
(2) JESSICA L. CAVAGNERO PTR/TREASURER	<u>40</u>	Х		Χ				165,428.	0.	17,551.
(3) JOHN MACINTOSH	40									
MNG PARTNER	0	X		Χ				142,075.	0.	15,069.
	40_					.,		100 410	0	10 005
VICE PRESIDENT	0					Χ		130,419.	0.	12,935.
	1	37						0	0	0
TRUSTEE  (6) MARK E REED III	10	X						0.	0.	0.
	0	Х						0.	0.	0.
(7) DOUGLAS SMITH	1	Λ						0.	0.	0.
CHAIRMAN	0	Х		Χ				0.	0.	0.
(8) W. BOWMAN CUTTER	1	21		21				<u> </u>	· ·	<u></u>
TRUSTEE	0	Х						0.	0.	0.
(9) GEORGIA LEVENSON KEOHANE	1								<u> </u>	
TRUSTEE	0	Χ						0.	0.	0.
(10) TATA TRAORÉ-ROGERS	1									
TRUSTEE	0	Χ						0.	0.	0.
(11) MARGARET CROTTY	1									
TRUSTEE	0	Χ						0.	0.	0.
(12) TAYLOR KUSHNER	1									
TRUSTEE	0	Χ						0.	0.	0.
(13) FRANK LIU	11									
TRUSTEE	0	Χ						0.	0.	0.
(14) SISTER PAULETTE LOMONACO	1									
TRUSTEE	0	X						0.	0.	0.

Tart VII Section A. Officers, Directors, Tre	131003,	, cy		ibid	Jyc	C3, (	uiiv	u riigiicat oon	ipensated Emp	loyees	(continueu)
(A)	(B) Average hours	box	, unle	heck ss pe	sition more erson	than is both	n an	<b>(D)</b> Reportable	<b>(E)</b> Reportable		(F)
Name and title	per week (list any hours for	offic	cer an	officer	directo	or/trust	tee)	compensation from	compensation from related organizations (W-2/1099-MISC)	compe the o	ated amount of other onsation from organization organization organizated
	related organiza - tions below dotted	Individual trustee or director	nstitutional trustee	댗	key employee	Highest compensated employee	er				anizations
	line)	8	tee			sated					
<u>(15)</u>											
<u>(16)</u>											
(17)											
<u>(18)</u>											
<u>(19)</u>											
(20)											
(21)											
(22)											
(23)											
<u>(24)</u>											
(25)											
1 b Subtotal							<b>&gt;</b>	604,895.	0.		64,159.
c Total from continuation sheets to Part VII, Section							<b></b>	004,039.	0.		04,133.
d Total (add lines 1b and 1c)							▶	604,895.	0.		64,159.
2 Total number of individuals (including but not limited from the organization ► 4							ved				
Hom the organization 4											Yes No
3 Did the organization list any <b>former</b> officer, direction line 1a? <i>If 'Yes,' complete Schedule J for suc.</i>	tor, truste <i>h individu</i>	ee, ke ıal	ey er	nplo	oyee 	, or l	higl	nest compensated	employee	. 3	X
<b>4</b> For any individual listed on line 1a, is the sum of the organization and related organizations greate such individual	er than \$1	50,00	00?	If 'Y	∕es,'	com	iple	te Schedule J for		4	X
<ul><li>5 Did any person listed on line 1a receive or accrue for services rendered to the organization? <i>If 'Yes</i></li></ul>	e comper	satio	n fro	om :	anv	unre	late	ed organization or	individual		X
Section B. Independent Contractors	.,		,,,,,,		0 .0.		۴				71
Complete this table for your five highest compensor compensation from the organization. Report compensation.	sated ind	epen the c	dent alend	cor	ntrad year	ctors endir	tha	at received more to with or within the or	han \$100,000 of ganization's tax year	·.	
(A) Name and business addi	ress							(B) Description	of services	Compe	C) nsation
OPEN IMPACT 2955 CAMPUS DRIVE SAN	MATEO	, CZ	A 9	44	03			CONSULTING		1	12,500.
2 Total number of independent contractors (including b		ited to	o tho	se I	isted	l abo	ve)	who received more	than		
\$100,000 of compensation from the organization	<b>1</b>									F	000 (2020)

		Check if Schedule O contains a response or note to any	/ line in this Part VI	11		
			<b>(A)</b> Total revenue	(B) Related or exempt function revenue	<b>(C)</b> Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	b c d e f	Federated campaigns				
<u>ਹ ਫ</u>	n	Total. Add lines 1a-1f	4,058,011.			
nu.	2 -		441 001	441 001		
Program Service Revenue	b	MANAGEMENT FEES 541610 ADVISORY CONSULTING 541900	441,901. 170,503.	441,901. 170,503.		
Servic	c d					
am	е					
ogu		All other program service revenue				
ď	g	<b>Total.</b> Add lines 2a-2f ▶	612,404.			
	3	Investment income (including dividends, interest, and other similar amounts)	5,087.	5,087.		
	5	Royalties				
		Gross rents				
		Less: rental expenses 6b				
		Rental income or (loss) 6c				
	d	Net rental income or (loss)				
	/ a Gross amount from sales of assets					
	b	ther than inventory Less: cost or other basis and sales expenses  7a				
	С	Gain or (loss) 7c				
		Net gain or (loss)				
nue	8 a	Gross income from fundraising events (not including \$				
Other Revenu		of contributions reported on line 1c).				
æ		See Part IV, line 18				
the		Less: direct expenses  Net income or (loss) from fundraising events				
0		Gross income from gaming activities. See Part IV, line 19				
	h	Less: direct expenses 9b				
		Net income or (loss) from gaming activities				
		Gross sales of inventory, less returns and allowances				
		Less: cost of goods sold 10b				
	С	Net income or (loss) from sales of inventory				
SI		Business Code				
E 60	-	<u>OTHER</u> 900099	5,978.	5,978.		
lar	b					
Miscellaneous Revenue	ب C	All other revenue				
Σ	-	Total. Add lines 11a-11d.	5,978.			
		Total revenue. See instructions.	4.681.480	623,469.	0	0

### Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Do i 6b, i	not include amounts reported on lines 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	( <b>D</b> ) Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	487,863.	487,863.	general expenses	олроново
2	Grants and other assistance to domestic individuals. See Part IV, line 22	,	,		
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 5	Benefits paid to or for members	474,295.	369,951.	90,116.	14,228.
6	Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.	0.	0.	0.
7	Other salaries and wages	583,656.	450,089.	116,057.	17,510.
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	84,533.	65,936.	16,061.	2,536.
9	Other employee benefits	11,048.	8,617.	2,099.	332.
10	Payroll taxes	76,230.	59,459.	14,484.	2,287.
11	Fees for services (nonemployees):	·		·	•
	Management				
	Legal	12,968.	11,210.	1,758.	
	: Accounting	26,897.	23,400.	3,497.	
	Lobbying.				
	Professional fundraising services. See Part IV, line 17  Investment management fees				
g	Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule 0.) Advertising and promotion	151,067.	131,428.	19,639.	
13	Office expenses	167.		167.	
14	Information technology	8,612.	6,682.	1,672.	258.
15	Royalties	·	·	·	
16	Occupancy	61,140.	47,432.	11,874.	1,834.
17	Travel	19,275.	2,235.	16,892.	148.
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings				
20	Interest				
21	Payments to affiliates				
22		5,702.	4,424.	1,107.	171.
23 24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.).	4,476.	3,472.	870.	134.
а	BAD DEBT	102,197.		102,197.	
	PAYROLL SERVICE FEE	22,653.		22,653.	
C	DUES AND SUBSCRIPTIONS	4,610.	4,094.	516.	
C	MISCELLANEOUS	1,411.	1,094.	275.	42.
	All other expenses				
	Total functional expenses. Add lines 1 through 24e	2,138,800.	1,677,386.	421,934.	39,480.
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.  Check here ► ☐ if following SOP 98-2 (ASC 958-720)				

### Part X Balance Sheet

		Check if Schedule O contains a response or note to	any line ir	n this Part X			
			-		(A) Beginning of year		<b>(B)</b> End of year
	1	Cash — non-interest-bearing			1,421,888.	1	3,421,896.
	2	Savings and temporary cash investments			921,703.	2	815,244.
	3	Pledges and grants receivable, net			123,750.	3	1,032,250.
	4	Accounts receivable, net				4	
	5	Loans and other receivables from any current or form trustee, key employee, creator or founder, substantial controlled entity or family member of any of these per	er officer, o contributor sons	director, r, or 35%		5	
	6	Loans and other receivables from other disqualified persection 4958(f)(1)), and persons described in section 4958(f)(1).		6			
	7	Notes and loans receivable, net		7			
ts	8	Inventories for sale or use				8	
Assets	9	Prepaid expenses and deferred charges			120,738.	9	171,747.
Ą	10 a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10 a	61,915.	,		·
	b	Less: accumulated depreciation	10 b	53,371.	11,813.	10 c	8,544.
	11	Investments – publicly traded securities			19,261.	11	18,530.
	12	Investments – other securities. See Part IV, line 11				12	
	13	Investments - program-related. See Part IV, line 11.			305,991.	13	843,168.
	14	Intangible assets		14			
	15	Other assets. See Part IV, line 11				15	
	16	Total assets. Add lines 1 through 15 (must equal line	33)		2,925,144.	16	6,311,379.
	17	Accounts payable and accrued expenses	20,715.	17	48,047.		
	18	Grants payable			26,000.	18	678,425.
	19	Deferred revenue		F		19	
	20	Tax-exempt bond liabilities		20			
ies	21	Escrow or custodial account liability. Complete Part I'		L.		21	
Liabilities	22	Loans and other payables to any current or former off key employee, creator or founder, substantial contribu- controlled entity or family member of any of these per	, 0		22		
	23	Secured mortgages and notes payable to unrelated th	ird parties			23	
	24	Unsecured notes and loans payable to unrelated third	parties			24	
	25	Other liabilities (including federal income tax, payable and other liabilities not included on lines 17-24). Com			262,148.	25	450,544.
	26	<b>Total liabilities.</b> Add lines 17 through 25			308,863.	26	1,177,016.
nces		Organizations that follow FASB ASC 958, check here and complete lines 27, 28, 32, and 33.	===				
ala	27	Net assets without donor restrictions		F	1,713,135.	27	1,730,251.
d B	28	Net assets with donor restrictions			903,146.	28	3,404,112.
Net Assets or Fund Balance		Organizations that do not follow FASB ASC 958, che and complete lines 29 through 33.	ck here ►				
ō	29	Capital stock or trust principal, or current funds				29	
ets	30	Paid-in or capital surplus, or land, building, or equipm	ent fund			30	
155	31	Retained earnings, endowment, accumulated income,				31	
et/	32	Total net assets or fund balances			2,616,281.	32	5,134,363.
ž	33	Total liabilities and net assets/fund balances			2,925,144.	33	6,311,379.

**BAA** TEEA0111L 10/07/20 Form **990** (2020)

Pa	rt XI Reconciliation of Net Assets							
	Check if Schedule O contains a response or note to any line in this Part XI.							
1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,6	81,4	180.			
2	Total expenses (must equal Part IX, column (A), line 25)	2	•		300.			
3	Revenue less expenses. Subtract line 2 from line 1	3			580.			
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4			281.			
5	Net unrealized gains (losses) on investments.	5			598.			
6	Donated services and use of facilities	6						
7	7 Investment expenses							
8	Prior period adjustments	8						
9	Other changes in net assets or fund balances (explain on Schedule O)	9			0.			
10								
	column (B))	10	5,1	34,3	363.			
Pa	rt XII Financial Statements and Reporting							
	Check if Schedule O contains a response or note to any line in this Part XII				. X			
				Yes	No			
1	Accounting method used to prepare the Form 990: Cash X Accrual Other							
	If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O.							
2	a Were the organization's financial statements compiled or reviewed by an independent accountant?		. 2a		X			
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviews separate basis, consolidated basis, or both:  Separate basis  Consolidated basis  Both consolidated and separate basis	ed on a						
	b Were the organization's financial statements audited by an independent accountant?		. 2b	Х				
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separa							
	basis, consolidated basis, or both:							
	X Separate basis Consolidated basis Both consolidated and separate basis							
	c If Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?		. 2c	Х				
	If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. SEE SCHEDULE O							
3	<b>a</b> As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		. 3a		Х			
	b If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required audit	it						
	or audits, explain why on Schedule O and describe any steps taken to undergo such audits		. 3b					
3A/	TEEA0112L 10/19/20		Form	990	(2020)			

### SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Name of the organization

### **Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Employer identification number

SEACHANGE CAPITAL PARTNERS INC 20-5124665 Part I Reason for Public Charity Status. (All organizations must complete this part.) See instructions. The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 1 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or An organization that normally receives (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after 10 June 30, 1975. See section 509(a)(2). (Complete Part III.) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in 12 lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.** Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization. Enter the number of supported organizations ..... **q** Provide the following information about the supported organization(s). (i) Name of supported organization (iii) Type of organization (described on lines 1-10 above (see instructions)) (v) Amount of monetary (iv) Is the organization listed (vi) Amount of other support (see instructions) support (see instructions) in your governing document? No (A) (B) (C) (D) (E) Total

### Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support										
begi	ndar year (or fiscal year nning in) ►	<b>(a)</b> 2016	<b>(b)</b> 2017	<b>(c)</b> 2018	<b>(d)</b> 2019	<b>(e)</b> 2020	<b>(f)</b> Total			
1	Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants.')	1,335,424.	1,403,855.	1,311,570.	1,431,604.	4,058,011.	9,540,464.			
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf.						0.			
3	The value of services or facilities furnished by a governmental unit to the organization without charge						0.			
	<b>Total.</b> Add lines 1 through 3 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)	1,335,424.	1,403,855.	1,311,570.	1,431,604.	4,058,011.	9,540,464.			
6	<b>Public support.</b> Subtract line 5 from line 4						8,910,716.			
Sec	tion B. Total Support						,			
Cale begi	ndar year (or fiscal year nning in) ►	<b>(a)</b> 2016	<b>(b)</b> 2017	<b>(c)</b> 2018	<b>(d)</b> 2019	<b>(e)</b> 2020	<b>(f)</b> Total			
7	Amounts from line 4	1,335,424.	1,403,855.	1,311,570.	1,431,604.	4,058,011.	9,540,464.			
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	939.	4,680.	13,318.	17,762.	5,087.	41,786.			
9	Net income from unrelated business activities, whether or not the business is regularly carried on	3331	2,000.	20,0201	27,7323	0,001	0.			
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) SEE PART VI	6,405.	1,115.	420.			7,940.			
11	Total support. Add lines 7 through 10						9,590,190.			
12	Gross receipts from related activ	vities, etc. (see ins	structions)			12	0.			
13	<b>First 5 years.</b> If the Form 990 is organization, check this box and	for the organization stop here	on's first, second,	third, fourth, or f	ifth tax year as a	section 501(c)(3)	▶ □			
Sec	tion C. Computation of Pu	blic Support P	ercentage							
14	Public support percentage for 20						92.91 %			
	Public support percentage from					<u> </u>	79.53%			
	<b>33-1/3% support test—2020.</b> If t and <b>stop here.</b> The organization	qualifies as a pul	olicly supported o	rganization			► <u>X</u>			
b	<b>33-1/3% support test—2019.</b> If the and <b>stop here.</b> The organization	ne organization did qualifies as a pu	d not check a box blicly supported o	on line 13 or 16a	a, and line 15 is 3	3-1/3% or more, c	theck this box			
17a	<b>10%-facts-and-circumstances te</b> or more, and if the organization the organization meets the facts	meets the facts-a	nd-circumstances	test, check this I	box and <b>stop here</b>	e. Explain in Part \	VI how			
	b 10%-facts-and-circumstances test—2019. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization									

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Sec	tion A. Public Support			,			
Calend	dar year (or fiscal year beginning in) >	<b>(a)</b> 2016	<b>(b)</b> 2017	<b>(c)</b> 2018	<b>(d)</b> 2019	<b>(e)</b> 2020	(f) Total
	Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants.')						
	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3	Gross receipts from activities that are not an unrelated trade or business under section 513.						
4	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5	The value of services or facilities furnished by a governmental unit to the organization without charge						
	<b>Total.</b> Add lines 1 through 5 Amounts included on lines 1, 2, and 3 received from disqualified persons						
b	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
С	Add lines 7a and 7b						
	<b>Public support.</b> (Subtract line 7c from line 6.)						
Sec	tion B. Total Support						
Calen	dar year (or fiscal year beginning in) 🟲	<b>(a)</b> 2016	<b>(b)</b> 2017	<b>(c)</b> 2018	<b>(d)</b> 2019	<b>(e)</b> 2020	(f) Total
	Amounts from line 6						
10a	Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
	Add lines 10a and 10b.  Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
	<b>Total support.</b> (Add lines 9, 10c, 11, and 12.)						
	First 5 years. If the Form 990 is organization, check this box and	stop here		third, fourth, or t	fifth tax year as a	section 501(c)(3)	▶ □
	tion C. Computation of Pul						
	Public support percentage for 20	•	•		•		%
	Public support percentage from 2					16	%
	tion D. Computation of Inv						
17	Investment income percentage for	•	• • •	-			%
18	Investment income percentage f					<u> </u>	%
	<b>33-1/3% support tests—2020.</b> If t is not more than 33-1/3%, check <b>33.1/3%</b> support tests— <b>2010.</b> If t	this box and <b>sto</b>	<b>p here.</b> The organ	ization qualifies	as a publicly supp	orted organization	
a	<b>33-1/3% support tests—2019.</b> If the line 18 is not more than 33-1/3%						
20	Private foundation. If the organiz		-				

### Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

### **Section A. All Supporting Organizations**

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents?  If 'No,' describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If 'Yes,' explain in <b>Part VI</b> how the organization determined that the supported organization was			
3a	described in section 509(a)(1) or (2).  Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If 'Yes,' answer lines 3b and 3c below.	2 3a		
t	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If 'Yes,' describe in <b>Part VI</b> when and how the organization made the determination.	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If 'Yes,' explain in <b>Part VI</b> what controls the organization put in place to ensure such use.	3c		
<b>4</b> a	Was any supported organization not organized in the United States ('foreign supported organization')? If 'Yes' and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If 'Yes,' describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.	4b		
c	: Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If 'Yes,' explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If 'Yes,' answer lines 5b and 5c below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was			
t	accomplished (such as by amendment to the organizing document).  Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the	5a		
c	organization's organizing document?  Substitutions only. Was the substitution the result of an event beyond the organization's control?	5b 5c		
	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one			
	or more of its supported organizations, (ii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If 'Yes,' provide detail in <b>Part VI</b> .	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If 'Yes,' complete Part I of Schedule L (Form 990 or 990-EZ).	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If 'Yes,' complete Part I of Schedule L (Form 990 or 990-EZ).	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))?			
ŀ	If 'Yes,' provide detail in <b>Part VI</b> .  Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the	9a		
	supporting organization had an interest? If 'Yes,' provide detail in Part VI.	9b		
	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If 'Yes,' provide detail in <b>Part VI</b> .	9с		
ıUa	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations)? If 'Yes,' answer line 10b below.	10a		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.).	10b		

Pai	t IV	Supporting Organizations (continued)			
				Yes	No
		the organization accepted a gift or contribution from any of the following persons?			
č	the g	son who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, overning body of a supported organization?	11a		
ŀ	A fan	nily member of a person described in line 11a above?	11b		
		controlled entity of a person described in line 11a or 11b above? If 'Yes' to line 11a, 11b, or 11c, provide detail in <b>Part VI</b> .	11c		
Sec	tion I	B. Type I Supporting Organizations	-		
_	5:11			Yes	No
1	or mo office orgar than were	the governing body, members of the governing body, officers acting in their official capacity, or membership of one pore supported organizations have the power to regularly appoint or elect at least a majority of the organization's ears, directors, or trustees at all times during the tax year? If 'No,' describe in <b>Part VI</b> how the supported nization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers g the tax year.	1		
2	that o	the organization operate for the benefit of any supported organization other than the supported organization(s) operated, supervised, or controlled the supporting organization? If 'Yes,' explain in <b>Part VI</b> how providing such fit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the orting organization.	2		
Sec	tion (	C. Type II Supporting Organizations			
				Yes	No
1	Were	a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees			
	or ea	ch of the organization's supported organization(s)? If 'No,' describe in <b>Part VI</b> how control or management of the orting organization was vested in the same persons that controlled or managed the supported organization(s).	1		
Sec	tion I	D. All Type III Supporting Organizations			
		217th Type in Supporting Significations		Yes	No
1	Did th	he organization provide to each of its supported organizations, by the last day of the fifth month of the nization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	year,	(ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the	,		
	orgar	nization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	Were	re any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
	the o	nization(s) or (ii) serving on the governing body of a supported organization? <i>If 'No,' explain in <b>Part VI</b> how</i> organization maintained a close and continuous working relationship with the supported organization(s).	2		
3	voice all tin	ason of the relationship described in line 2, above, did the organization's supported organizations have a significant in the organization's investment policies and in directing the use of the organization's income or assets at mes during the tax year? If 'Yes,' describe in <b>Part VI</b> the role the organization's supported organizations played is regard.	3		
Sac		E. Type III Functionally Integrated Supporting Organizations	3		
500	don i	L. Type in Functionally integrated Supporting Organizations			
1	Check	k the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
á	a 📙 T	he organization satisfied the Activities Test. Complete line 2 below.			
ŀ	ד 🗌 כ	The organization is the parent of each of its supported organizations. Complete line 3 below.			
(	: [] T	The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see	instru	uctions	s).
2	Activi	ities Test. Answer lines 2a and 2b below.		Yes	No
á	suppo orgai	ubstantially all of the organization's activities during the tax year directly further the exempt purposes of the orted organization(s) to which the organization was responsive? If 'Yes,' then in <b>Part VI identify those supported</b> nizations and explain how these activities directly furthered their exempt purposes, how the organization was onsive to those supported organizations, and how the organization determined that these activities constituted			
		tantially all of its activities.	2a		
ŀ	more reaso	the activities described in line 2a, above, constitute activities that, but for the organization's involvement, one or of the organization's supported organization(s) would have been engaged in? If 'Yes,' explain in Part VI the organization's position that its supported organization(s) would have engaged in these activities	2b		
2		or the organization's involvement.  Int of Supported Organizations. Answer lines 3a and 3b below.	ZIJ		
		the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of			
•		of the supported organizations? If 'Yes' or 'No,' provide details in <b>Part VI.</b>	3a		
ŀ		ne organization exercise a substantial degree of direction over the policies, programs, and activities of each of its orted organizations? If 'Yes,' describe in <b>Part VI</b> the role played by the organization in this regard.	3b		

Pa	付 V □ Type III Non-Functionally Integrated 509(a)(3) Supporting Orga	nizat	ons		
1	Check here if the organization satisfied the Integral Part Test as a qualifying trust instructions. All other Type III non-functionally integrated supporting organization	t on No	v. 20, 1970 (explain ir t complete Sections A	Part VI). <b>See</b> through E.	
Sec	tion A – Adjusted Net Income		(A) Prior Year (B) Curre (option		
1	Net short-term capital gain	1			
2	Recoveries of prior-year distributions	2			
3	Other gross income (see instructions)	3			
4	Add lines 1 through 3.	4			
5	Depreciation and depletion	5			
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6			
7	Other expenses (see instructions)	7			
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8			
Sec	tion B — Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)	
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):				
	Average monthly value of securities	1a			
ŀ	Average monthly cash balances	1b			
	Fair market value of other non-exempt-use assets	1c			
	I Total (add lines 1a, 1b, and 1c)	1d			
	Discount claimed for blockage or other factors     (explain in detail in Part VI):				
2	Acquisition indebtedness applicable to non-exempt-use assets	2			
3	Subtract line 2 from line 1d.	3			
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4			
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5			
6	Multiply line 5 by 0.035.	6			
_ 7	Recoveries of prior-year distributions	7			
8	Minimum Asset Amount (add line 7 to line 6)	8			
Sec	tion C — Distributable Amount			Current Year	
1	Adjusted net income for prior year (from Section A, line 8, column A)	1			
2	Enter 0.85 of line 1.	2			
3		3			
4	3	4			
5	Income tax imposed in prior year	5			
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6			
7	Check here if the current year is the organization's first as a non-functionally inte (see instructions).	grated		-	
BAA			Schedule A (F	orm 990 or 990-EZ) 20	

Schedule A (Form 990 or 990-EZ) 2020

Pai	rt V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continue	ed)				
Sec	Section D – Distributions					
1	Amounts paid to supported organizations to accomplish exempt purposes	1				
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2				
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	3				
4	Amounts paid to acquire exempt-use assets	4				
5	Qualified set-aside amounts (prior IRS approval required — provide details in Part VI)	5				
6	Other distributions (describe in Part VI). See instructions.	6				
_ 7	Total annual distributions. Add lines 1 through 6.	7				
8	Distributions to attentive supported organizations to which the organization is responsive (provide details					
	in <b>Part VI</b> ). See instructions.	8				
9	Distributable amount for 2020 from Section C, line 6	9				
10	Line 8 amount divided by line 9 amount	10				

Section E — Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2020	(iii) Distributable Amount for 2020
1 Distributable amount for 2020 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2020 (reasonable cause required — explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2020			
<b>a</b> From 2015			
<b>b</b> From 2016			
<b>c</b> From 2017			
<b>d</b> From 2018			
<b>e</b> From 2019			
f Total of lines 3a through 3e			
<b>g</b> Applied to underdistributions of prior years			
h Applied to 2020 distributable amount			
i Carryover from 2015 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2020 from Section D, line 7:			
a Applied to underdistributions of prior years			
<b>b</b> Applied to 2020 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
<b>5</b> Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, <i>explain in Part VI</i> . See instructions.			
<b>6</b> Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. For result greater than zero, <i>explain in Part VI</i> . See instructions.			
7 Excess distributions carryover to 2021. Add lines 3j and 4c.			
8 Breakdown of line 7:	_		
a Excess from 2016			
<b>b</b> Excess from 2017			
c Excess from 2018			
d Excess from 2019			
e Excess from 2020			
BΛΛ		Schodulo A (Fo	rm 990 or 990-F7) 2020

BAA

Schedule A (Form 990 or 990-EZ) 2020

Page 8

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

### PART II, LINE 10 - OTHER INCOME

NATURE AND SOURCE	2020		2019		2018		2017		2016	
					\$ 420.	\$	1,115.	\$	6,405.	
TOTAL	\$	0.	\$	0.	\$ 420.	\$	1,115.	\$	6,405.	

# SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service Name of the organization

Supplemental Financial Statements

► Complete if the organization answered 'Yes' on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection
Employer identification number

	ACHANGE CAPITAL PARTNERS INC				124665
Pai	d I Organizations Maintaining Donor Advised Funds or Oth	er	Si	nilar Funds or Accounts	
	Complete if the organization answered 'Yes' on Form 990	), P	<sup>2</sup> a	t IV, line 6.	
	(a) Donor advised	func	ds	<b>(b)</b> Funds an	d other accounts
1	Total number at end of year				
2	Aggregate value of contributions to (during year)				
3	Aggregate value of grants from (during year)				
4	Aggregate value at end of year				
5	Did the organization inform all donors and donor advisors in writing that the are the organization's property, subject to the organization's exclusive legal	ass	set ntr	s held in donor advised funds	Yes No
6	Did the organization inform all grantees, donors, and donor advisors in writin for charitable purposes and not for the benefit of the donor or donor advisor impermissible private benefit?	ng t ´, or	tha r fo	grant funds can be used only any other purpose conferring	
)	<u> </u>		<u> </u>		
'ai	Conservation Easements. Complete if the organization answered 'Yes' on Form 990	) [	D۵	t IV/ line 7	
1	Purpose(s) of conservation easements held by the organization (check all the				
'	Preservation of land for public use (for example, recreation or education)	iat c	Г	Preservation of a historically in	nnortant land area
	Protection of natural habitat		H	Preservation of a certified histo	•
	Preservation of open space		<u>L</u>	Treservation of a certifica filst	nic structure
2	Complete lines 2a through 2d if the organization held a qualified conservation con	ıtrihı	utio	n in the form of a conservation ea	sement on the
_	last day of the tax year.	uibu	utit	The form of a conservation ce	Schieft on the
				Held at t	he End of the Tax Y
ä	a Total number of conservation easements			2a	
ı	b Total acreage restricted by conservation easements			2b	
•	c Number of conservation easements on a certified historic structure included	in (	(a)	2c	
(	d Number of conservation easements included in (c) acquired after 7/25/06, ar structure listed in the National Register				
3	Number of conservation easements modified, transferred, released, extinguished, tax year ►	or te	teri	ninated by the organization during	the
4	Number of states where property subject to conservation easement is located ►		_		
5	Does the organization have a written policy regarding the periodic monitoring				
_	and enforcement of the conservation easements it holds?				Yes No
6	Staff and volunteer hours devoted to monitoring, inspecting, handling of violations	, an	ıd	inforcing conservation easements	during the year
7	Amount of expenses incurred in monitoring, inspecting, handling of violations, and	d en	ıfoı	cing conservation easements during	ng the year
8	Does each conservation easement reported on line 2(d) above satisfy the re and section 170(h)(4)(B)(ii)?				□Yes □ No
9	In Part XIII, describe how the organization reports conservation easements i include, if applicable, the text of the footnote to the organization's financial	in it	ts	evenue and expense statement	11 1 11 6
	conservation easements.			-	
Par	Organizations Maintaining Collections of Art, Historical Complete if the organization answered 'Yes' on Form 990	<b>Tre</b> ), P	ea >a	sures, or Other Similar Ast t IV, line 8.	ssets.
1 a	a If the organization elected, as permitted under FASB ASC 958, not to report historical treasures, or other similar assets held for public exhibition, educat Part XIII the text of the footnote to its financial statements that describes the	tion,	, 0	research in furtherance of pub	sheet works of art, lic service, provide i
ı	b If the organization elected, as permitted under FASB ASC 958, to report in i historical treasures, or other similar assets held for public exhibition, education, or following amounts relating to these items:	its res	rev sea	enue statement and balance sh rch in furtherance of public servic	eet works of art, e, provide the
	(i) Revenue included on Form 990, Part VIII, line 1				\$
	(ii) Assets included in Form 990, Part X				
	If the organization received or held works of art, historical treasures, or other simil amounts required to be reported under FASB ASC 958 relating to these item				following
	a Revenue included on Form 990, Part VIII, line 1				
	h Assets included in Form 990 Part X			<b>•</b>	\$

Part III   Organizations Maintai	ining Colle	ctions of Ai	rt, Historic	ai ireasures, or	Otner Similar Ass	ets (contini	uea)						
3 Using the organization's acquisition items (check all that apply):	, accession, ar	nd other records	<u> </u>	· ·	ake significant use of its	collection							
a Public exhibition													
b Scholarly research e Other													
c Preservation for future generation													
4 Provide a description of the organiz Part XIII.	ation's collecti	ons and explair	n how they fur	ther the organization's	exempt purpose in								
5 During the year, did the organiza to be sold to raise funds rather the	nan to be mai	ntained as par	t of the orga	nization's collection?		Yes	No						
Part IV Escrow and Custodial line 9, or reported an a	amount on	Form 990, I	Part X, line	organization ans e 21.	swered 'Yes' on Fo	rm 990, Pa 	rt IV,						
1 a Is the organization an agent, trus on Form 990, Part X?	stee, custodia	n or other inte	rmediary for	contributions or othe	r assets not included	Yes	No						
<b>b</b> If 'Yes,' explain the arrangement	in Part XIII a	nd complete th	ne following t	table:									
						Amount							
<b>c</b> Beginning balance					1c								
<b>d</b> Additions during the year					1 d								
e Distributions during the year					1 e								
f Ending balance					1f								
2a Did the organization include an a	mount on For	m 990, Part X	, line 21, for	escrow or custodial	account liability?	Yes	No						
<b>b</b> If 'Yes,' explain the arrangement	in Part XIII.	Check here if t	he explanation	on has been provided	d on Part XIII	<u> </u>							
Part V Endowment Funds. C	omplete if	the organiza	ation answ	ered 'Yes' on Fo	rm 990, Part IV, lir	ne 10.							
	(a) Current		) Prior year	(c) Two years back	(d) Three years back	(e) Four yea	ırs back						
1 a Beginning of year balance													
<b>b</b> Contributions													
c Net investment earnings, gains, and losses													
<b>d</b> Grants or scholarships						<del>                                     </del>							
e Other expenditures for facilities and programs													
f Administrative expenses													
<b>g</b> End of year balance				1		<u> </u>							
2 Provide the estimated percentage		-	•	g, column (a)) held a	as:								
a Board designated or quasi-endowment		······································	Ś										
<b>b</b> Permanent endowment ►	%												
c Term endowment ►	% %												
The percentages on lines 2a, 2b, ar													
<b>3a</b> Are there endowment funds not in the organization by:	ne possession	of the organiza	tion that are r	neid and administered	for the	Yes	No						
(i) Unrelated organizations						. 3a(i)							
(ii) Related organizations						3a(ii)							
<b>b</b> If 'Yes' on line 3a(ii), are the rela						3b							
4 Describe in Part XIII the intended	-					1 02 1							
Part VI Land, Buildings, and I		-											
Complete if the organi			on Form 9	990, Part IV, line	11a. See Form 99	0, Part X, I	ine 10.						
Description of property		(a) Cost or oth (investme	er basis ent)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book v	alue						
<b>1 a</b> Land													
<b>b</b> Buildings													
c Leasehold improvements		·											
<b>d</b> Equipment				17,733.	17,298.		435.						
<b>e</b> Other				44,182.	36,073.	8	3,109.						
Total. Add lines 1a through 1e. (Column		qual Form 990,	Part X, colu				3,544.						
BAA			<u> </u>	, ,		ule D (Form 99							

Schedule D (Form 990) 2020

Part VII		Other Securities.		N/A	
				), Part IV, line 11b. See Form 9	
		gory (including name of security)	<b>(b)</b> Book value	(c) Method of valuation: Cost or end-o	f-year market value
` ,	y held equity interes	ts			
(3) Other					
(A)					
(B)					
(C)					
(D)					
(E)					
<u>(F)</u>					
$\frac{(G)}{(H)}$ — — —					
Total (Colum		00 Part V salumn (P) line 12)			
		90, Part X, column (B) line 12.) • • Program Related.			
Part VIII	Complete if the	e organization answered	'Yes' on Form 990	), Part IV, line 11c. See Form 9	90, Part X, line 13.
-	(a) Description of		(b) Book value	(c) Method of valuation: Cost or end-	
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					
		90, Part X, column (B) line 13.) 🕨	843,168.		
Part IX	Other Assets.	e organization answered	N/A 'Yes' on Form 990	), Part IV, line 11d. See Form 9	90 Part X line 15
•	Complete ii tile		scription	5, 1 art 17, mie 11a. eee 1 em 5	(b) Book value
(1)		, ,	'		, ,
(2)					
(3)					
(4)					
(5)					
(6)					
(7) (8)					
(9)					
(10)					
Total. (Co	olumn (b) must equa	l Form 990, Part X, column (l	B) line 15.)	<b>&gt;</b>	
Part X	Other Liabilitie	2S.	· · · · · · · · · · · · · · · · · · ·		
	Complete if the org	ganization answered 'Yes' on F		1e or 11f. See Form 990, Part X, line 25.	
1.		(a) Descr	iption of liability		<b>(b)</b> Book value
	eral income taxes	EIIID			06.006
	TO CONTACT		LLC		96,936.
	IDS HELD FOR		الم		153,608. 200,000.
(5)	IDS TILLED TOR	TENDING			200,000.
(6)					
(7)					
(8)					
(9)	·				
(10)					
(11)					
				<b>_</b>	450,544.
				nancial statements that reports the organization's	
tax positions	under FASB ASC /40. Ch	еск nere it tne text of the footnote has	been provided in Part XIII	SE	r. thrt viti 🔽

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per R	eturn.	
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.		
1 Total revenue, gains, and other support per audited financial statements	1	4,848,385.
2 Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a Net unrealized gains (losses) on investments		
<b>b</b> Donated services and use of facilities		
c Recoveries of prior year grants		
d Other (Describe in Part XIII.)		
e Add lines 2a through 2d.	2 e	166,905.
3 Subtract line 2e from line 1	3	4,681,480.
4 Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a Investment expenses not included on Form 990, Part VIII, line 7b		
b Other (Describe in Part XIII.)		
c Add lines 4a and 4b.	4 c	
5 Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	4,681,480.
Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per	Retur	n.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.		
our protes in this organization and research to the total first and the research		
Total expenses and losses per audited financial statements	1	2,330,303.
	1	2,330,303.
<ul> <li>1 Total expenses and losses per audited financial statements</li> <li>2 Amounts included on line 1 but not on Form 990, Part IX, line 25:</li> </ul>		2,330,303.
<ul> <li>1 Total expenses and losses per audited financial statements</li> <li>2 Amounts included on line 1 but not on Form 990, Part IX, line 25:</li> </ul>		2,330,303.
1 Total expenses and losses per audited financial statements		2,330,303.
1 Total expenses and losses per audited financial statements 2 Amounts included on line 1 but not on Form 990, Part IX, line 25: a Donated services and use of facilities 2a 191,503. b Prior year adjustments 2b		2,330,303.
1 Total expenses and losses per audited financial statements 2 Amounts included on line 1 but not on Form 990, Part IX, line 25: a Donated services and use of facilities		2,330,303. 191,503.
1 Total expenses and losses per audited financial statements 2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities	-	191,503.
1 Total expenses and losses per audited financial statements 2 Amounts included on line 1 but not on Form 990, Part IX, line 25:  a Donated services and use of facilities.  b Prior year adjustments.  c Other losses.  d Other (Describe in Part XIII.)  e Add lines 2a through 2d.	2 e	191,503.
1 Total expenses and losses per audited financial statements 2 Amounts included on line 1 but not on Form 990, Part IX, line 25: a Donated services and use of facilities. b Prior year adjustments. c Other losses. d Other (Describe in Part XIII.) e Add lines 2a through 2d. 3 Subtract line 2e from line 1. 4 Amounts included on Form 990, Part IX, line 25, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b. 4 Announts included on Form 990, Part VIII, line 7b. 4 Announts included on Form 990, Part VIII, line 7b. 4 Announts included on Form 990, Part VIII, line 7b. 4 Announts included on Form 990, Part VIII, line 7b.	2 e	191,503.
1 Total expenses and losses per audited financial statements 2 Amounts included on line 1 but not on Form 990, Part IX, line 25: a Donated services and use of facilities. b Prior year adjustments. c Other losses. d Other (Describe in Part XIII.) e Add lines 2a through 2d. 3 Subtract line 2e from line 1. 4 Amounts included on Form 990, Part IX, line 25, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b. b Other (Describe in Part XIII.)  4 b	2 e 3	191,503.
1 Total expenses and losses per audited financial statements 2 Amounts included on line 1 but not on Form 990, Part IX, line 25: a Donated services and use of facilities. b Prior year adjustments. c Other losses. d Other (Describe in Part XIII.) e Add lines 2a through 2d. 3 Subtract line 2e from line 1. 4 Amounts included on Form 990, Part IX, line 25, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b. b Other (Describe in Part XIII.) c Add lines 4a and 4b.	2 e 3	191,503. 2,138,800.
1 Total expenses and losses per audited financial statements 2 Amounts included on line 1 but not on Form 990, Part IX, line 25: a Donated services and use of facilities. b Prior year adjustments. c Other losses. d Other (Describe in Part XIII.) e Add lines 2a through 2d. 3 Subtract line 2e from line 1. 4 Amounts included on Form 990, Part IX, line 25, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b. b Other (Describe in Part XIII.)  4 b	2 e 3	, ,

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

### **PART X - FASB ASC 740 FOOTNOTE**

SEACHANGE RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY WHEN THEY ARE MORE LIKELY THAN NOT TO BE SUSTAINED. MANAGEMENT HAS DETERMINED THAT SEACHANGE HAD NO UNCERTAIN TAX POSITIONS THAT WOULD REQUIRE FINANCIAL STATEMENT RECOGITION OR DISCLOSURE.

BAA Schedule D (Form 990) 2020

### SCHEDULE I (Form 990)

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered 'Yes' on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

2020

Employer identification number

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

Name of the organization

► Go to www.irs.gov/Form990 for the latest information.

Open to Public Inspection

SEACHANGE CAPITAL PARTNERS	INC					20-512466	55				
Part I General Information on Grants and Assistance											
Does the organization maintain records to the selection criteria used to award the	substantiate the amo grants or assistance	unt of the grants or e?	assistance, the grantees'	eligibility for the grants	or assistance, and		X Yes No				
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.  SEE PART IV											
Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered 'Yes' on											
Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.											
1 (a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of noncash assistance	(h) Purpose of grant or assistance				
(1) COALITION OF BEHAVIORAL HEALT 14 PENN PLAZA, 3RD FLOOR				_			FACILITATE MERGER BETWEEN				
NEW YORK, NY 10122			15,000.	0.			TWO ORGS				
(2) CENTER FOR RESILIENT CITIES  501 E. BADGER ROAD							FACILITATE MERGER BETWEEN				
MADISON, WI 53713			10,100.	0.			TWO ORGS				
(3) BROOKLYN HISTORICAL SOCIETY 128 PIERREPONT ST							FACILITATE MERGER BETWEEN				
BROOKLYN, NY 11201			20,000.	0.			TWO ORGS				
(4) HARTLEY HOUSE 413 W. 46TH STREET							FACILITATE MERGER BETWEEN				
NEW YORK, NY 10036			10,000.	0.			TWO ORGS				
(5) DIASPORA COMMUNITY SERVICES  921 E. NEW YORK AVE  BROOKLYN, NY 11203			10,000.	0.			FACILITATE MERGER BETWEEN TWO ORGS				
(6) GODDARD RIVERSIDE  593 COLUMBUS AVENUE			10.000				FACILITATE MERGER BETWEEN				
BROOKLYN, NY 10024			19,000.	0.			TWO ORGS				
7) SCO FAMILY OF SERVICES  1 ALEXANDER PLACE  GLEN COVE, NY 11542			15,000.	0.			FACILITATE SPINOUT OF PROGRAM				
(8) CHILDHAVEN			15,000.	0.			FACILITATE				
316 BROADWAY							ESTABLISHMENT				
SEATTLE, WA 98122			13,100.	0.			OF NETWORK				
2 Enter total number of section 501(c)(3)	) and government or	ganizations listed					21				
3 Enter total number of other organizatio	ons listed in the line	1 table					0				

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered 'Yes' on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	<b>(b)</b> Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

#### PART I, LINE 2 - PROCEDURES FOR MONITORING USE OF GRANTS FUNDS IN U.S.

EACH GRANTEE AND SEACHANGE AGREE TO TERMS ABOUT SEMI-ANNUAL REPORTING BY THE GRANTEE TO SEACHANGE ABOUT THE PROGRESS OF THE GRANTEE TOWARD ITS GOALS UNDER THE GRANT.

MEMBERS OF SEACHANGE'S PROGRAM TEAM MONITOR THIS PROGRESS. THEY REPORT TO THE SEACHANGE BOARD AT EACH BOARD MEETING ABOUT ONGOING USE OF GRANT FUNDS.

NORMAL PROCEDURES ALSO INCLUDE REVIEW OF FINANCIALS, STAFF AND STAKEHOLDER INTERVIEWS, MULTIPLE SITE VISITS, AND AN ECOSYSTEM SURVEY.

BAA Schedule I (Form 990) 2020

### **Continuation Sheet for Schedule I (Form 990)**

► Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

2020

Continuation Page 1 of 2

Name of the organization

CEACHANCE CARTEAL DARRINGS INC.

Employer identification number

SEACHANGE CAPITAL PARTNERS						20-512466			
Part II   Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments. (Schedule I (Form 990), Part II.)									
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance		
HOUSING VERMONT  100 BANK STREET, SUITE 400  BURLINGTON, VT 05401			26,250.				FACILITATE MERGER BETWEEN TWO ORGS		
THE NY JEWISH WEEK  1140 AVENUE OF THE AMERICAS  NEW YORK, NY 10036			19,000.				FACILITATE MERGER BETWEEN TWO ORGS		
PHILADELPHIA EDUCATION FUND 718 ARCH STREET #700N PHILADELPHIA, PA 19106			30,000.				FACILITATE MERGER BETWEEN TWO ORGS		
INDIESPACE  23 48TH STREET  OUEENS, NY 11105			20,000.				FACILITATE MERGER BETWEEN TWO ORGS		
MACPHAIL CENTER FOR MUSIC  501 S 2ND ST  MINNEAPOLIS, MN 55401			25,050.				FACILITATE MERGER BETWEEN TWO ORGS		
PEOPLE'S EMERGENCY CENTER  325 N 39TH ST PHILADELPHIA, PA 19104			40,000.				FACILITATE MERGER BETWEEN TWO ORGS		
BOARDSOURCE 750 9TH STREET NW WASHINGTON, DC 20001			57,000.				FACILITATE MERGER BETWEEN TWO ORGS		
ACENDA INTEGRATED HEALTH  42 DELSEA DRIVE SOUTH  GLASSBORO, NJ 08028			10,000.				FACILITATE MERGER BETWEEN TWO ORGS		
FAMILY SERVICE ROCHESTER 4600 18TH AVENUE NW ROCHESTER, MN 55901			11,250.				FACILITATE EXPLORATION OF A NETWORK		
EDUCATION PLUS HEALTH  100 W OXFORD ST E-1100  PHILADELPHIA, PA 19122			19,000.				FACILITATE MERGER BETWEEN TWO ORGS		

TEEA4001L 07/15/20

Schedule I Cont (Form 990) 2020

### **Continuation Sheet for Schedule I (Form 990)**

► Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

2020

Continuation Page 2

Name of the organization

SEACHANGE CAPITAL PARTNERS INC

20-5124665

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments. (Schedule I (Form 990), Part II.)									
(a) Name and address of organization or government	<b>(b)</b> EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance		
CHILD_AND_FAMILY_RHODE_ISLAND_							FACILITATE		
30 JOHN CLARKE RD,							MERGER BETWEEN		
MIDDLETOWN, RI 02840			20,000.				TWO ORGS		
INSTITUTE FOR NONPROFIT PRACT							FACILITATE		
89 SOUTH STREET, SUITE LL01							MERGER BETWEEN		
BOSTON, MA 02111			12,500.				TWO ORGS		
YOUNG AUDIENCES OF OREGON							FACILITATE		
1220_SW_MORRISON_ST_#1000							MERGER BETWEEN		
PORTLAND, OR 97205			21,850.				TWO ORGS		

### SCHEDULE J (Form 990)

Department of the Treasury Internal Revenue Service **Compensation Information** 

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered 'Yes' on Form 990, Part IV, line 23.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection

SEACHANGE CAPITAL PARTNERS INC

Employer identification number 20-5124665

Par	t I Questions Regarding Compensation			
			Yes	No
1 a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	First-class or charter travel  Housing allowance or residence for personal use			
	Travel for companions Payments for business use of personal residence			
	Tax indemnification and gross-up payments Health or social club dues or initiation fees			
	Discretionary spending account  Personal services (such as maid, chauffeur, chef)			
<b>L</b>	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or			
L	reimbursement or provision of all of the expenses described above? If 'No,' complete Part III to explain	1 b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?	2		
3	Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/ Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
	Compensation committee X Written employment contract			
	☐ Independent compensation consultant ☐ Compensation survey or study			
	X   Approval by the board or compensation committee			
	<u> </u>			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
а	Receive a severance payment or change-of-control payment?	4 a		Χ
	Participate in or receive payment from a supplemental nonqualified retirement plan?	4 b		Χ
C	Participate in or receive payment from an equity-based compensation arrangement?	4 c		Х
	If 'Yes' to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
	The organization?	5 a		Χ
b	Any related organization?	5 b		Χ
	If 'Yes' on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
а	The organization?	6 a		Х
b	Any related organization?	6 b		Χ
	If 'Yes' on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If 'Yes,' describe in Part III.	7		Х
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject			
	to the initial contract exception described in Regulations section 53.4958-4(a)(3)?  If 'Yes,' describe in Part III	8		Х
^		<u> </u>		Λ
9	If 'Yes' on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

### Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown	of W-2 and/or 1099-MIS	SC compensation	(C) Datingment	(D) Namtavahla	(E) Total of	(F) Componentian
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns(B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
JESSICA L. CAVAGNERO	(i)	165,428.	0.	0.	17,000.	551.	182,979.	0.
1 PTR/TREASURER	(ii)	0.	0.	0.	0.	0.	0.	0.
JOHN MACINTOSH	(i)	142,075.	0.	0.	14,521.	548.	157,144.	0.
2 MNG PARTNER	(ii)	0.	0.	0.	0.	0.	0.	0.
NADYA SHMAVONIAN	(i)	166,973.	0.	0.	18,000.	604.	185,577.	0.
3 PARTNER	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)		<u> </u>				L	
4	(ii)							
	(i)		<u> </u>		L		L	
5	(ii)							
	(i)							
6	(ii)							
	(i)							
7	(ii)							
	(i)							
8	(ii)							
	(i)							
9	(ii)							
	(i)		<b> </b>				<u> </u>	
10	(ii)							
	(i)							
11	(ii)							
	(i)							
12	(ii)							
	(i)							
13	(ii)							
	(i)		<u> </u>		L		L	
14	(ii)							
	(i)		l		L		L	
15	(ii)							
	(i)		<u> </u>		L		L	
16	(ii)							
RΛΛ			TFFA4102I 09/2F	3/20			Calaaduda	I (Form 990) 2020

BAA

Schedule J (Form 990) 2020

### Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

TEEA4103L 09/25/20

### SCHEDULE O (Form 990 or 990-EZ)

### Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or 990-EZ.

► Attach to Form 990 or 990-EZ.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

SEACHANGE CAPITAL PARTNERS INC

Employer identification number

20-5124665

#### FORM 990, PART I, LINE 1 - ORGANIZATION MISSION OR SIGNIFICANT ACTIVITIES

SEACHANGE SUPPORTS NONPROFITS FACING COMPLEX FINANCIAL AND ORGANIZATIONAL CHALLENGES.

WE DO THIS WITH GRANTS, LOANS, CONSULTING, AND RESEARCH TO EDUCATE NONPROFITS,

FUNDERS, AND OTHER STAKEHOLDERS. SEACHANGE ALSO WORKS TO BUILD EFFECTIVE CONNECTIONS

BETWEEN FUNDERS AND NONPROFITS ON THE ASSOCIATED ISSUES.

### FORM 990, PART III, LINE 1 - ORGANIZATION MISSION

SEACHANGE SUPPORTS NONPROFITS FACING COMPLEX FINANCIAL AND ORGANIZATIONAL CHALLENGES. WE DO THIS WITH GRANTS, LOANS, CONSULTING, AND RESEARCH TO EDUCATE NONPROFITS, FUNDERS, AND OTHER STAKEHOLDERS. SEACHANGE ALSO WORKS TO BUILD EFFECTIVE CONNECTIONS BETWEEN FUNDERS AND NONPROFITS ON THE ASSOCIATED ISSUES.

#### WE FOCUS IN FOUR AREAS:

IN MERGERS AND SUSTAINED COLLABORATION, WE SUPPORT NONPROFITS THAT ALREADY HAVE A SERIOUS INTEREST IN COMING TOGETHER-OR IN EXPLORING HOW THEY MIGHT-BY COVERING A PORTION OF THE COSTS OF EXPLORING OR COMPLETING THE TRANSACTION. WE MANAGE FOUR GRANTMAKING PROGRAMS—THE SEACHANGE—LODESTAR FUND FOR NONPROFIT COLLABORATION, THE NEW YORK MERGER AND COLLABORATION FUND, THE GREATER PHILADELPHIA NONPROFIT REPOSITIONING FUND, AND THE TRANSFORMATIONAL PARTNERSHIPS FUND (WITH A FOCUS ON HIGHER EDUCATION)—THAT PROVIDE FUNDING TO ENCOURAGE AND SUPPORT MERGERS, ACQUISITIONS, JOINT VENTURES, AND OTHER TYPES OF FORMAL, SUSTAINED COLLABORATIONS BETWEEN NONPROFITS. SEACHANGE IS A FOUNDING MEMBER OF THE SUSTAINED COLLABORATION NETWORK, A NETWORK OF EIGHT GRANTMAKING INITIATIVES ACROSS THE COUNTRY THAT SUPPORT NONPROFIT COLLABORATION, THROUGH WHICH WE SHARE BEST PRACTICES AND COORDINATE ACTIVITIES (E.G. SHARED EVALUATION FRAMEWORKS, JOINT TRAINING OF CONSULTANTS INTEREST IN COLLABORATION,

### FORM 990, PART III, LINE 1 - ORGANIZATION MISSION

IN CREDIT, SEACHANGE PROVIDES FLEXIBLE, HIGH-IMPACT LOANS TO NONPROFITS IN NEW YORK CITY THAT ARE UNABLE TO ACCESS TRADITIONAL FINANCING. WE DO THIS THROUGH OUR AFFILIATED FUNDS—THE CONTACT FUND LLC AND NEW YORK POOLED PRI FUND LLC—OR FROM OUR OWN BALANCE SHEET. OUR LOANS PROVIDE WORKING CAPITAL, REAL ESTATE, AND EXPANSION CAPITAL.

IN CONSULTING, WE HAVE TWO TYPES OF ENGAGEMENTS: PAID AND PRO BONO. FOR PAID ENGAGEMENTS, WHICH WE TAKE ON SELECTIVELY, WE TYPICALLY PROVIDE FINANCIAL AND TRANSACTION-ORIENTED SERVICES TO NONPROFITS AND/OR FOUNDATIONS THAT ARE CONSIDERING, OR UNDERGOING, A SIGNIFICANT TRANSACTION OR CHALLENGE OTHER THAN A SUSTAINED COLLABORATION. THESE SERVICES INCLUDE FINANCIAL ANALYSIS, FINANCIAL MODEL-BUILDING AND SCENARIO PLANNING, FUND DESIGN, AND SUPPORT FOR RESTRUCTURINGS AND DISSOLUTIONS. FOR PRO BONO ENGAGEMENTS, NONPROFITS REACH OUT IN NEED OF FINANCIAL/TRANSACTIONAL SUPPORT THAT IS UNRELATED TO OUR CREDIT OR GRANTMAKING ACTIVITIES, BUT WHERE A LIMITED AMOUNT OF WORK CAN MAKE A DIFFERENCE IN TERMS OF OUTCOME.

OUR INSIGHT WORK SHARES WHAT WE HAVE LEARNED IN OUR ON-THE-GROUND WORK. INSIGHT
PIECES HAVE TAKEN THE FORM OF ARTICLES, RESEARCH REPORTS, OPINION PIECES, EVENTS,
AND WEBINARS. WE APPLY RIGOROUS ANALYTICS TO UNDERSTAND AND QUANTIFY THE CHALLENGES
FACING THE SECTOR AND PROPOSE SOLUTIONS THAT MAY BE RELEVANT TO THE BROADER
COMMUNITY OF NONPROFITS, FUNDERS, AND POLICYMAKERS.

### FORM 990, PART III, LINE 4A - PROGRAM SERVICE ACCOMPLISHMENTS

SEACHANGE SUPPORTS NONPROFITS FACING COMPLEX FINANCIAL AND ORGANIZATIONAL CHALLENGES.

WE DO THIS WITH GRANTS, LOANS, CONSULTING, AND RESEARCH TO EDUCATE NONPROFITS,

FUNDERS, AND OTHER STAKEHOLDERS. SEACHANGE ALSO WORKS TO BUILD EFFECTIVE CONNECTIONS

Employer identification number

20-5124665

### FORM 990, PART III, LINE 4A - PROGRAM SERVICE ACCOMPLISHMENTS

BETWEEN FUNDERS AND NONPROFITS ON THE ASSOCIATED ISSUES.

SEACHANGE LAUNCHED IN EARLY 2008 WITH SUPPORT FROM THE BILL & MELINDA GATES
FOUNDATION, OMIDYAR NETWORK, THE WILLIAM AND FLORA HEWLETT FOUNDATION, THE SURDNA
FOUNDATION, GOLDMAN SACHS, AND A GROUP OF INDIVIDUAL PHILANTHROPISTS. AFTER AN
INITIAL FOCUS ON EDUCATION AND YOUTH DEVELOPMENT SEACHANGE EXPANDED INTO SUSTAINED
COLLABORATION WITH THE SEACHANGE-LODESTAR FUND FOR NONPROFIT COLLABORATION
(2009-PRESENT), THE NEW YORK MERGER AND COLLABORATION FUND ("NYMAC," 2012-PRESENT), THE
GREATER PHILADELPHIA NONPROFIT REPOSITIONING FUND ("NONPROFIT REPOSITIONING FUND,"
2018-PRESENT), AND THE TRANSFORMATIONAL PARTNERSHIPS FUND (2020-PRESENT); INTO CREDIT
WITH THE CONTACT FUND (2013-PRESENT); AND INTO IMPACT INVESTING WITH THE NEW YORK
POOLED PRI FUND ("NYPRI," 2014-PRESENT).

NYPRI AND THE CONTACT FUND ARE BOTH SEPARATE LEGAL ENTITIES; SEACHANGE SERVES AS THE MANAGING MEMBER OF NYPRI AND MANAGES CONTACT FUND PURSUANT TO A MANAGEMENT SERVICES AGREEMENT. NYMAC, THE SEACHANGE-LODESTAR FUND FOR NONPROFIT COLLABORATION, THE NONPROFIT REPOSITIONING FUND, AND THE TRANSFORMATIONAL PARTNERSHIPS FUND ARE GRANTMAKING PROGRAMS OF SEACHANGE THAT ARE REFERRED TO AS "FUNDS" BECAUSE EACH HAS A DISTINCT SET OF PHILANTHROPIC FUNDERS TO WHOM CERTAIN GOVERNANCE RIGHTS HAVE BEEN GRANTED. NONE OF THESE FOUR PROGRAMS ARE DISTINCT LEGAL ENTITIES.

IN 2011, SEACHANGE BEGAN PROVIDING CONSULTING SERVICES IN RESPONSE TO REQUESTS FROM NONPROFITS AND FUNDERS. SEACHANGE UNDERTAKES SELECT COUNSULTING ENGAGEMENTS, ALL OF WHICH ARE CONSISTENT WITH OUR MISSION. OUR WORK WILL CONTINUE TO EVOLVE IN RESPONSE TO CHANGES IN THE ENVIRONMENT, FEEDBACK FROM THE MARKET, AND REFLECTION ON OUR ACCOMPLISHMENTS AND CHALLENGES. SEACHANGE ALSO REGULARLY RELEASES REPORTS ANALYZING

### FORM 990, PART III, LINE 4A - PROGRAM SERVICE ACCOMPLISHMENTS

MAJOR ISSUES FOR THE NONPROFIT SECTOR, AS WELL AS OPINION PIECES IN VARIOUS PUBLICATIONS.

DURING 2020, SEACHANGE MADE GRANTS TO SUPPORT 34 SUSTAINED COLLABORATION TRANSACTIONS ACROSS THE SEACHANGE-LODESTAR FUND (15), NYMAC (8), AND THE NONPROFIT REPOSITIONING FUND (11), COMPARED TO 25 TOTAL GRANTS FUNDED IN 2019. SEACHANGE HAD A STAFF OF TEN FULL-TIME EMPLOYEES IN 2020 AND NINE IN 2019.

FORM 990, PART VI, LINE 1A - EXPLANATION OF DELEGATED BROAD AUTHORITY TO COMMITTEE

THE BOARD OF DIRECTORS CONSISTS OF THE CHAIR AND 11 OTHER VOTING MEMBERS. THE TREASURER POSITION IS HELD BY A SEACHANGE PARTNER AND VOTING MEMBER. THE SECRETARY POSITION IS HELD BY A NON-VOTING SEACHANGE STAFF MEMBER.

### FORM 990, PART VI, LINE 11B - FORM 990 REVIEW PROCESS

KEY PERSONNEL COMPLETED THE COLLECTION OF DATA ON GOVERNANCE, AUDITED FINANCIALS,
AND PROGRAM INFORMATION BEFORE SUBMITTING TO THE OFFICERS FOR REVIEW. THE OFFICERS
MET WITH THE AUDITOR TO DISCUSS KEY ISSUES. THE CHAIR OF THE AUDIT COMMITTEE
REVIEWED THE COMPLETED FINANCIALS WITH THE AUDITOR AND MET WITH THE OFFICERS TO
DISCUSS KEY ISSUES.

AN ELECTRONIC COPY OF THE 990 WAS SENT TO EACH MEMBER OF THE BOARD. BEFORE IT WAS FILED, EACH DIRECTOR, IN PARTICULAR THE CHAIRMAN OF THE BOARD AND THE CHAIR OF THE AUDIT COMMITTEE, AND EACH PARTNER WAS ASKED TO REVIEW THE 990 AND EITHER REQUEST CLARIFICATION OR CORRECTION OR APPROVE ITS FILING. AFTER THE 990 WAS FILED, AT THE NEXT BOARD MEETING THE 990 WAS ON THE BOARD'S AGENDA FOR DISCUSSION.

FORM 990, PART VI, LINE 12C - EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS

SEACHANGE MAINTAINS A CONFLICT OF INTEREST POLICY THAT APPLIES TO DECISIONS MADE AT

BOTH THE PROGRAM AND ORGANIZATIONAL LEVELS. THESE INCLUDE MAKING A GRANT OR LOAN TO

### FORM 990, PART VI, LINE 12C - EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS (CONTINUED)

AN ORGANIZATION WITH WHICH A BOARD OR STAFF MEMBER HAS EITHER A CONFLICTING RELATIONSHIP OR WHAT COULD BE SEEN AS A CONFLICTING RELATIONSHIP, AS WELL AS ENGAGING VENDORS WITH WHOM A SIMILAR RELATIONSHIP EXISTS. THE POLICY REQUIRES ANNUAL DISCLOSURE AND SIGNATURE FROM EVERY BOARD AND STAFF MEMBER, AND WHEN A CONFLICT DOES EXIST RECUSAL IS REQUIRED. SEACHANGE ALSO MAINTAINS A WHISTLEBLOWER POLICY THAT DESIGNATES THE CHAIR OF THE AUDIT COMMITTEE OF THE BOARD AS COMPLIANCE OFFICER. THIS POLICY IS DISTRIBUTED ANNUALLY IN CONJUNCTION WITH THE CONFLICT OF INTEREST DISCLOSURE.

FORM 990, PART VI, LINE 15A - COMPENSATION REVIEW & APPROVAL PROCESS - CEO & TOP MANAGEMENT
THE COMPENSATION FOR THE MANAGING PARTNER IS ESTABLISHED BY THE BOARD. THE
COMPENSATION FOR ALL OTHER EMPLOYEES IS ESTABLISHED BY THE MANAGING PARTNER IN
CONSULTATION WITH THE OTHER TWO PARTNERS, WITH APPROVAL FROM THE CHAIR(S) OF THE
BOARD. ALL SALARIES AND BENEFITS ARE BASED ON BENCHMARKING ABOUT COMPENSATION FOR
COMPARABLE POSITIONS IN THE NONPROFIT SECTOR.

### FORM 990, PART VI, LINE 19 - OTHER ORGANIZATION DOCUMENTS PUBLICLY AVAILABLE

FINANCIAL STATEMENTS ARE AVAILABLE PUBLICLY THROUGH GUIDESTAR, ON THE ORGANIZATION'S WEBSITE, AS REQUIRED THROUGH NEW YORK STATE LAWS GOVERNING CHARITABLE ORGANIZATIONS.

### FORM 990, PART XII, LINE 2 - CHANGE OF OVERSIGHT OR SELECTION PROCESS

OVERSIGHT OF THE AUDIT - THE PROCESS HAS NOT CHANGED FROM THE PRIOR YEAR.